

AFCARS ASSESSMENT REVIEW FINDINGS - GENERAL REQUIREMENTS

State: Connecticut

REQUIREMENT	FINDING/NOTES	RATING FACTOR
POPULATION REQUIREMENTS		2
<p>45 CFR 1355.40(a) Scope of the data collection system – foster care</p> <p>Must include all children in foster care for whom the agency has responsibility for placement, care, or supervision (45 CFR 1355.40(a)(2)).</p> <ul style="list-style-type: none"> All children who are required to be provided the protection of section 422(b)(10) of the Social Security Act (the Act) (Appendix A – SECTION II). All children supervised by or under the responsibility of another public agency with which the title IV-B/E agency has an agreement under title IV-E and on whose behalf the State makes title IV-E foster care maintenance payments (Appendix A - SECTION II). <p>Includes Native American children covered under section 422(b)(10) of the Act, (45 CFR 1355.40(a)(2)).</p> <p>Out of State placements. The State making the placement submits the information on the child (45 CFR 1355.40(a)(2)).</p> <p>Includes all children who have been in foster care at least 24 hours (Appendix A - SECTION II).</p> <p>Does not include children who are in their own homes under the responsibility of the State agency (Appendix A - SECTION II).</p>	<p>Yes</p> <p>The State is including the juvenile justice population that is covered under title IV-E for reimbursement. The State does not have title IV-E agreements with Tribes.</p> <p>Yes</p> <p>Yes</p> <p>According to the State team, children that have been removed for more than 24 hours are included in the AFCARS population. State needs to verify that this is the case and ensure that it is in the new extract code.</p> <p>The State does not report on children unless they have been placed in out of home care.</p>	

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<p>Include all children who are in the placement, care, or supervision responsibility of the title IV-B/E agency that are on “trial home visits”. (Child Welfare Policy Manual, section 1, AFCARS.)</p> <p>Includes all children the State has placement and financial payment responsibility for, but the State does not have custody (ACYF-PIQ-95-01, 3/8/1995).</p> <p>Includes youth over the age of 18 if a payment is being made on behalf of the child (ACYF-PIQ-95-01, 3/8/1995).</p>	<p>The State is not extracting the foster care population as defined by AFCARS. The State considers a case as being discharged when the child returns home, even if the State retains care and custody. For AFCARS purposes, these cases should still be reported in the foster care data file. A child who returns home, while the State retains placement, care or supervision, should continue to be included in the AFCARS report as a child in a “trial home visit” placement setting (element #41). The date that the trial home visit begins should be entered as the current setting placement date (element #23), but the number of placement settings (element #24) should not change.</p> <p>Yes</p> <p>State includes this population. The agency also provides education and support services up to age 23.</p>	
<p>45 CFR 1355.40(a) Scope of the data collection system – adoption</p> <p>Includes all adopted children placed by the agency, and all adopted children for whom the State agency is providing adoption assistance (either ongoing or for nonrecurring expenses), or for whom care or services are provided directly or by contract or agreement with other private or public agencies (45 CFR 1355.40(a)(3)).</p> <ul style="list-style-type: none"> Report on all children adopted in the State during the reporting period in whose adoption the State has had any involvement. All adoptions after 10/1/94 that meets the criteria below must be 	<p>Yes</p>	

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<p>reported. Failure to report on these adoptions will result in penalties being assessed. Criteria (Appendix B – Section II):</p> <p>a) Children who had been in foster care under the responsibility and care of the agency.</p> <p>b) All special needs children, whether or not they were in the public foster care system prior to their adoption and for whom non-recurring expenses were reimbursed.</p> <p>c) Children adopted for whom an adoption assistance payment or service is being provided based on arrangements with the State agency.</p> <p>Adoptions prior to 10/1/94, with title IV-E adoption subsidies, report aggregate data (45 CFR 1355.40(a)(3)).</p> <p>For children adopted out of state, the placing State reports the adoption data (45 CFR 1355.40(a)(3)).</p> <p>Voluntarily reports on all other adoptions. (Appendix B – Section II).</p>	<p>State has not yet submitted an adoption aggregate file.</p> <p>Yes</p> <p>State does not plan to include non-State agency adoptions.</p>	
TECHNICAL REQUIREMENTS		1
<p>45 CFR 1355.40(b) Foster care and adoption reporting requirements</p> <p>The data must be extracted from the data system as of the last day of the reporting period (45 CFR 1355.40(b)(1)).</p> <p>The data must be submitted in electronic form as described in Appendix C (45 CFR 1355.40(b)(1)).</p> <p>The data must be in record layouts as delineated in Appendix D (45 CFR 1355.40(b)).</p>	<p>The State is extracting dates that occur after the end of the report period.</p> <p>Yes</p> <p>Yes</p>	

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The State converted all cases, and has the capability of entering historical data on cases that re-open for foster care.	The State converted open and closed cases from the legacy system (CMS) as far back as 1993. The State converted to LINK in 1996. Data on removal episodes are not in LINK if the dates preceded 1993. If a case re-opens, and for those cases open at the time of conversion, "historical" data cannot be entered into the system by the worker.	
Appendix A, 45 CFR 1355 Foster Care Data Elements and Appendix B, 45 CFR 1355 Adoption Data Elements State uses sequential numbering of the case record number or encrypts the record number.	State does not use the correct methodology for extracting case record numbers. Record Numbers will be reported in the current way through 2001B.	
Appendix C, 45 CFR 1355 Electronic Data Transmission Format Data file must be in ASCII format. Elements must be comprised of integer (numeric) value(s). All records must be a fixed length.	State uses PC Binary Yes Yes	

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ACYF-PI-CB-95-09, Reissued May 23, 1995 State extracts all records based on the transaction date of discharge.	Yes	
Technical Bulletin #2, File Format State uses correct file name for transmission.	Yes (State needs to ensure that the new file name is used for file submission as of October 2001)	
Data Extraction State has a method to ensure that an individual adoption record is extracted and reported to AFCARS only once. For children who were discharged during the period, information on the most recent episode is extracted.	The State has a date stamp associated with the entry of the adoption legalization date. The State extracts the adoption record based on the date stamp. Yes	
File Creation State attaches footnotes to files. State is using proper format for the creation of footnotes. State uses DCU and DQU on its data file.	CT does not submit footnotes. State has in the past used the DCU or DQU prior to data transmissions. State team unsure of its present use.	